



Sheet 1 of 1 sheet(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. ROC920010110US1	Serial No. 09/865,798
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Braam et al	
(Use several sheets if necessary)		Filing Date 05/25/2001	Confirmation No.: Unknown
Examiner unknown			

U.S. Patent Documents

*Examiner Initial	Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
<i>LSH</i>	A1	4,905,171	Kiel et al	364	551.01	11/09/1987
<i>LSH</i>	A2	5,408,618	Aho et al	395	325	07/31/1992
<i>LSH</i>	A3	5,627,766	Beaven	364	551.01	01/03/1995
<i>LSH</i>	A4	5,696,701	Burgess et al	364	551.01	07/12/1996
<i>LSH</i>	A5	5,758,071	Burgess et al	395	200.5	07/12/1996
<i>LSH</i>	A6	5,796,633	Burgess et al	364	551.01	07/12/1996
<i>LSH</i>	A7	5,949,976	Chappelle	395	200.54	09/30/1996
<i>LSH</i>	A8	5,961,596	Takubo et al	709	224	02/14/1997
<i>LSH</i>	A9	09/892,424				06/27/2001
<i>LSH</i>	A10	09/892,435				06/27/2001
	A11					
	A12					
	A13					

Foreign Patent Documents

*Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						YES	NO
	B1					<input type="checkbox"/>	<input type="checkbox"/>
	B2					<input type="checkbox"/>	<input type="checkbox"/>
	B3					<input type="checkbox"/>	<input type="checkbox"/>
	B4					<input type="checkbox"/>	<input type="checkbox"/>
	B5					<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial	Including Author, Title, Date, Pertinent Pages, Etc.
<i>LSH</i>	C1 "Performance Management/400 Offerings and Services, including Performance Management/400 - Subset", Version 3, International Business Machines Corporation, Third Edition, September 1994.
	C2
	C3

Examiner *Ly V. Hea* Date Considered *11/28/04*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number.: 09/865,798
Filing Date: May 25, 2001
First Named Inventor: Braam et al.
Art Unit: 2131
Examiner Name: Unknown
Attorney Docket Number.: ROC920010110US1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	<i>P. V. Shea</i>	Date Considered	11/28/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.